

The Mechanism of Acylation*

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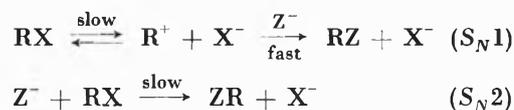
Zusammenfassung

Die Struktur des Grundzustandes eines Acylchloridmoleküls wird im Hinblick auf die beteiligten kanonischen Strukturen und auf neuere physikalische Messungen, einschließlich Bindungslängen und ^{35}Cl -Quadrupolkopplungskonstanten kurz diskutiert. Auf Grund dieser Strukturen und verfügbarer Reaktionsgeschwindigkeitsmessungen werden mögliche Reaktionsmechanismen der Hydrolyse von Acylchloriden, einer typischen Acylierungsreaktion, betrachtet. Beweise zugunsten zweier Mechanismen werden erbracht, von denen einer eine geschwindigkeitsbestimmende Bindungsbildung, der andere eine geschwindigkeitsbestimmende Ionisierung einschließt. Der erste Mechanismus, der durch elektronenanziehende Substituenten gefördert wird, geht wahrscheinlich über einen tetraedrischen sp^3 -Zwischenzustand, wie der gleichzeitige ^{18}O -Austausch des Carbonylsauerstoffs und der geringe sterische Effekt zeigen. Der ionische Mechanismus wird durch elektronenabgebende Substituenten und durch stark polare Lösungsmittel gefördert und könnte die geschwindigkeitsbestimmende Bildung eines Acyliumions oder die Ionisierung des vorgebildeten Hydrates

einschließen, um das Carboniumion $\overset{+}{\text{R}}\text{C}(\text{OH})_2$ zu bilden. Die Schwierigkeit, strukturelle Effekte zu deuten, wird kurz diskutiert im Hinblick auf die möglichen Elektronenstrukturen ($\overset{+}{\text{R}}=\text{C}=\text{O}$ und $\text{R}-\overset{+}{\text{C}}\equiv\text{O}$) des Acyliumions und auf die starke Konjugation im Grundzustand von Molekülen, in denen die Gruppe R ein freies Elektronenpaar besitzt.

The mechanism of nucleophilic substitution at a saturated carbon atom has been studied in considerable

detail, and these studies have made a major contribution to theoretical organic chemistry. In particular the work of HUGHES and INGOLD has led to a broad classification of these reactions in terms of a rate determining ionisation mechanism (S_N1) and a direct displacement (S_N2) involving simultaneous bond making and bond breaking.



Substitution at a carbonyl centre is more complex, owing to the presence of two electronegative centres, and to the influence of the π -bond of the carbonyl group. The detailed electronic distribution is therefore difficult to ascertain, and varies considerably with structure, as shown for example by the changes in infra-red stretching frequency of the carbonyl bond,¹ and the ionisation potential of the $p\pi$ electrons of the oxygen atom.²

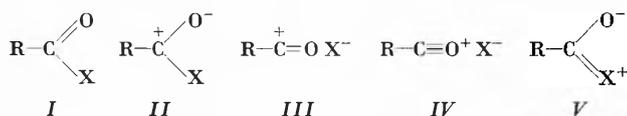
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¹ LECOMTE, *Bull. Soc. Chim. France* 1955, 717; LORD and MILLER, *Appl. Spectroscopy* 10 (1956) 115; KAGARISE, *J. Amer. Chem. Soc.* 77 (1955) 1377.

² WALSH, *Trans. Faraday Soc.* 42 (1946) 56.

In classical terms a carbonyl compound may be represented as a resonance hybrid of the canonical structures I to V.



Structure II facilitates nucleophilic addition and consequently promotes a bimolecular displacement of X, which is repressed by structure V, whereas structures III and IV assist ionisation.

Table I: Bond lengths of alkyl and acyl chlorides

X	CH ₂ COX l _{C-X} Å	CH ₃ X l _{C-X} Å
F	1.37 ± 0.02 ^a 1.41 ± 0.05 ^b	1.384 ^a 1.385 ^c
Cl	1.82 ± 0.02 ^a 1.82 ± 0.01 ^d	1.779 ^a 1.786 ^e
Br	2.00 ± 0.04 ^a 2.06 ± 0.08 ^d	1.936 ^a 1.939 ^f
I	2.21 ± 0.04 ^a	2.132 ^a 2.144 ^e

(a) ALLEN and SUTTON, *Trans. Faraday Soc.* 47 (1951) 236.

(b) SKINNER, quoted in ref. 1.

(c) GILLIAM, EDWARDS and GORDY, *Physic. Rev.* 75 (1949) 104.

(d) DORNT, quoted in ref. 1.

(e) SIMMONS, *Physic. Rev.* 76 (1949) 686.

(f) SIMMONS and SWAN, *Physic. Rev.* 80 (1950) 289.

³⁵Cl Nuclear quadrupole resonance frequencies of halogen compounds

Compound	eq. Q (Mc ps)
Atomic ³⁵ Cl	110.4 ^a
CH ₃ Cl	75.13 ^a
C ₂ H ₅ O·CO·Cl	33.858 ^b
CCl ₃ ·COCl	33.721 ^b
(CH ₃) ₂ N·COCl	31.8 ^b
C ₆ H ₅ ·CO·Cl	29.93 ^b
Cl·C(O)(CH ₂) ₄ ·CO·Cl	29.978 ^b
CH ₃ COCl	predicted at ca. 27.7 ^c
NaCl	<1. ^a

(a) TOWNES and DAILEY, *J. Chem. Physics* 17 (1949) 782.

(b) BRAY, *J. Chem. Physics* 23 (1955) 703.

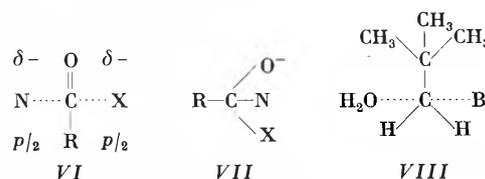
(c) BRAY, *J. Chem. Physics* 22 (1954) 1787.

Recent measurements of bond lengths (Table I), molecular refraction, and nuclear quadrupole coupling constants of the ³⁵Cl atom (Table I), suggest that the charge density on the chlorine atom is greater than on the chlorine atom of the corresponding alkyl halide. This is surprising in view of the low electron density at the carbonyl carbon atom, and suggests that structure IV makes a significant contribution to the ground state.

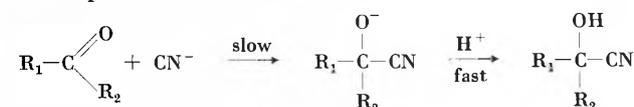
This structural evidence indicates that the C—Cl bond is readily ionised, in agreement with the relatively low dissociation energies of acyl halides.³ On structural considerations alone therefore, we may conclude that the charge distribution represented by II, promotes bimolecular displacement through an addition intermediate, and the charge distribution represented by structure IV, which becomes more important in the transition state promotes a unimolecular ionisation mechanism.

The mechanism of bimolecular acylation

As pointed out by INGOLD,⁴ acylation may proceed through two alternative transition states, depending on the relative π-bond energy of the carbonyl bond, and the σ-bond energy of the bond formed. A planar transition state VI which is formed if the π-bond is retained⁵ is electronically and sterically similar to an S_N2 transition state.



In the sp³ hybridised structure VII, the N—C, σ-bond energy largely compensates for the loss of π-bond energy, as in the known stable compounds e.g. chloral hydrate⁶ and trifluoro-acetone hydrate.⁷ It is well known that carbonyl addition is a nucleophilic process and is promoted by electron withdrawing substituents, e.g. chloral hydrate is more stable than acetaldehyde hydrate.⁸ Similarly, the classical work of LAPWORTH⁹ established that the rate of cyanhydrin formation is controlled by nucleophilic addition,



In the hydrolysis of esters,¹⁰ amides and acid chlorides,¹¹ tracer work has established the presence of symmetrical addition compounds. Thus, following earlier work on ¹⁸O exchange between ketones and water, and carboxylic acids and water, BENDER¹⁰ showed that the

³ CARSON and SKINNER, *J. Chem. Soc.* 1949, 936, 1950, 656.

⁴ INGOLD, *Structure and Mechanism in Organic Chemistry*, Cornell, 1953, p. 754.

⁵ DEWAR, *Electronic Theory of Organic Chemistry*, Oxford University Press, 1949.

⁶ DAVIES, *Trans. Faraday Soc.* 36 (1940) 333.

⁷ HENNE, NEWMAN, QUILL and STAINFORTH, *J. Amer. Chem. Soc.* 69 (1947) 1819.

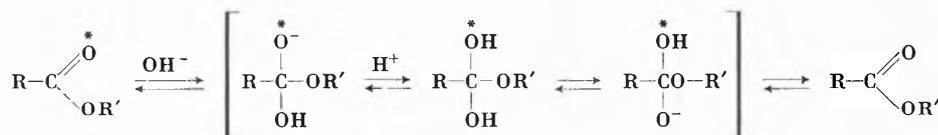
⁸ BELL and HIGGINSON, *Proc. Roy. Soc. A* 197 (1949) 141.

⁹ LAPWORTH and MANSKE, *J. Chem. Soc.* 1928, 2533.

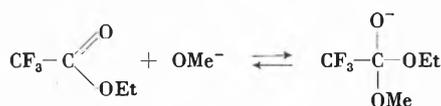
¹⁰ BENDER, *J. Amer. Chem. Soc.* 73 (1951) 1626.

¹¹ BUNTON, LEWIS and LLEWELLYN, *Chem. Ind.* 1954, 1154.

carbonyl oxygen atom exchanges in the course of acid and alkaline hydrolysis of esters. This is interpreted by the following scheme for alkaline hydrolysis,



It was shown later¹² that the addition of sodium methoxide or ethoxide to ethyl trifluoroacetate in di-*n*-butyl ether caused the carbonyl peak in the infra-red spectra to disappear. The reaction was reversible since the carbonyl peak reappeared on the addition of acid, in agreement with the following process,



The equilibrium constant decreases with the number of fluorine atoms in the alkyl chain (Table 2), following the decrease in the charge on the carbon atom.

This increase parallels the increase in rate of hydrolysis of the corresponding chloroacetates,¹³ in agreement with a hydrolysis mechanism, proceeding through an intermediate.

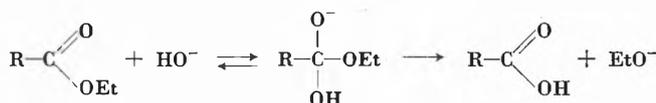


Table 2: The position of equilibrium for the addition reaction between esters and sodium methoxide in di-*n*-butyl ether

Esters	% addition
Ethyl acetate	0
Ethyl fluoroacetate	26
Ethyl difluoroacetate	77
Ethyl trifluoroacetate	96

These observations suggest strongly, but do not prove, that the transition state for bimolecular acylation assumes the tetrahedral sp^3 hybridised structure of the addition intermediate. Further evidence in favour of this structure is provided by measurements of steric hindrance in hydrolysis and alcoholysis. Thus the substitution of alkyl groups in acetyl chloride has little effect on the reactivity,¹⁴ as shown by the following data (Table 3).

On the other hand, β -substitution in alkyl halides produces large reductions in rate¹⁵, e.g. the S_N2 hydrolysis of neopentyl bromide is at least 150 times slower than

the hydrolysis of ethyl bromide, owing to steric compression in the transition state, *VIII*. The small differences in rate between acetyl chloride and trimethyl

acetyl chloride show that the less strained transition state, *VII*, is formed.

Table 3: Comparison of the rate of hydrolysis of acyl¹⁴ and alkyl chlorides¹⁵

Compound	$10^4 K (\text{s}^{-1})^*$	Compound	$10^6 K (\text{s}^{-1})^{**}$
$\text{CH}_3 \cdot \text{COCl}$	8.9	$\text{C}_2\text{H}_5 \cdot \text{Br}$	141
$\text{C}_2\text{H}_5 \cdot \text{COCl}$	9.87	$\text{C}_3\text{H}_7 \cdot \text{Br}$	80.3
$(\text{CH}_3)_2\text{CH} \cdot \text{CO} \cdot \text{Cl}$	8.2	$(\text{CH}_3)_2\text{CH} \cdot \text{CH}_2 \cdot \text{Br}$	11.3
$(\text{CH}_3)_3\text{C} \cdot \text{COCl}$	2.2	$(\text{CH}_3)_3\text{C} \cdot \text{CH}_2 \cdot \text{Br}$	0.91***

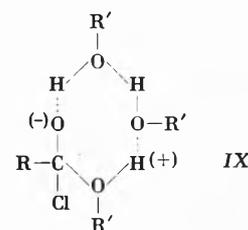
* INH_2O in dioxan, 25°

** 50% aqueous ethanol, 95°

*** S_N1 reaction

Little is known of the lifetime of the addition intermediate *VII*, although the ^{18}O exchange experiments¹¹ show that the life is sufficiently long for the appropriate proton transfer to occur. The elegant work of EIGEN¹⁶ has shown that protons and hydroxyl ions combine almost instantaneously ($K_2 \approx 1 \cdot \text{mole}^{-1}\text{s}^{-1}$), even when the ions are separated by two water molecules. The proton transfer producing ^{18}O exchange could therefore occur in intermediate *VII* in a time comparable to the lifetime of a transition state.

We have shown,¹⁷ by studying the alcoholysis of acetyl chloride in dilute solutions of alcohols in non-polar solvents, that the rate of reaction is proportional to the concentration of alcohol in the associated state over a wide concentration range. This suggests that the transition state is associated with a discrete number of alcohol molecules to give a non-polar assembly *IX*, which collapses to give the reaction products.



¹² BENDER, *J. Amer. Chem. Soc.* 75 (1953) 5986.

¹³ FLOM, quoted by BENDER, ref. 12.

¹⁴ WELLER, Ph. D. Thesis, London 1955.

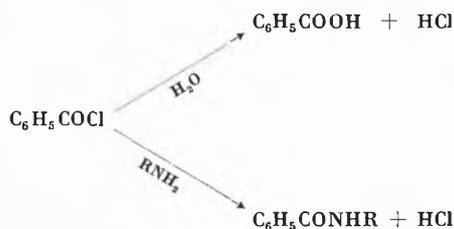
¹⁵ DOSTROVSKY and HUGHES, *J. Chem. Soc.* 1946, 171.

¹⁶ EIGEN, *Disc. Faraday Soc.* 17 (1954) 194.

¹⁷ HUDSON and SAVILLE, *J. Chem. Soc.* 1955, 4114; HUDSON and STELZER, *Trans. Faraday Soc.* 54 (1958) 213.

The sensitivity of the rate to an increase in polarity of the solvent (ionising power) is a measure of the polarity of the transition state.^{26, 27} The greater sensitivity of *p*-methoxybenzoyl chloride shows that the transition state is highly polar, in agreement with the tendency to undergo an ionisation reaction.

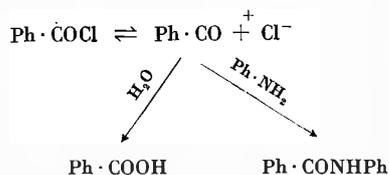
The prediction of a change in mechanism with increase in water concentration was supported by GOLD, HILTON and JEFFERSON²⁸ by studying the effect of added amine on the rate of reaction of benzoyl chloride in aqueous acetone. By comparing the rate constants K_1 and K_0 for the reaction of benzoyl chloride in the presence and absence of *p*-chloroaniline,



with the product compositions, it was shown that the concentration of anilide formed is greater than that predicted from the bimolecular rate constant for the reaction between aniline and benzoyl chloride. Thus if both reactions proceed by bimolecular mechanisms,

$$\frac{[\text{anilide}]}{[\text{benzoic acid}]} = \frac{K_1 - K_0}{K_0}$$

This agreement was obtained from measurements in 80% acetone – 20% water. In 50% aqueous acetone however, the product ratio was considerably greater than the rate ratio showing that ca. 40% of the anilide was produced in a non-rate determining stage, in agreement with the mechanism,



By comparing solvolysis rates in formic acid and in aqueous acetone, HUDSON and CRUNDEN²⁵ obtained a value of 40% $S_{\text{N}}1$ participation in 65% aqueous acetone, in good agreement with the above value. They obtained estimates of the contribution of the ionisation mechanism to the solvolysis of some substituted benzoyl chlorides (Table 6), by assuming that the rates of ionisation were equal in the two solvents, in which the $S_{\text{N}}1$ reaction of alkyl halides proceed at the same rate (solvents of equal ionising power²⁹). The increase in rate in the

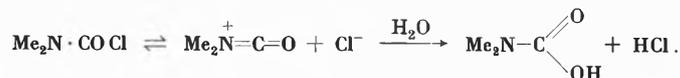
aqueous solvent was then attributed to the bimolecular reaction, thus enabling the proportion of the reaction proceeding by each mechanism to be established.

Table 6

Compound	65% aq. acetone	Formic acid (1% water)	% $S_{\text{N}}1$
<i>p</i> -CH ₃ ·C ₆ H ₄ ·COCl	$28 \times 10^{-3} \text{ s}^{-1}$	$19 \times 10^{-3} \text{ s}^{-1}$	70
C ₆ H ₅ ·COCl	8.9×10^{-3}	3.5×10^{-3}	40
<i>p</i> -Br-C ₆ H ₄ ·COCl	2.2×10^{-3}	2.4×10^{-4}	10
<i>p</i> -NO ₂ -C ₆ H ₄ ·COCl	3.0×10^{-3}	1.0×10^{-6}	— 0

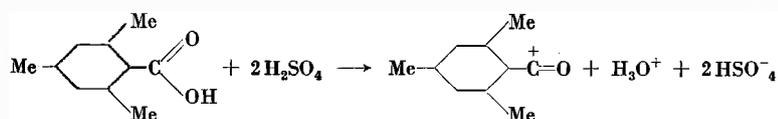
The nature of the ionisation reaction

Strong evidence for an ionisation, $S_{\text{N}}1$ mechanism, for the hydrolysis of carbamyl chlorides has been presented by HALL.³⁰ Hydroxide ions have no effect on the rate of reaction of dimethyl carbamyl chloride, which is greatly reduced by chloride ions. Evidence for the existence of an intermediate was obtained by the isolation of dimethyl 3.3 tetramethylene urea, on the addition of pyrrolidine to the aqueous reaction mixture. These observations were interpreted by the following reaction mechanism,

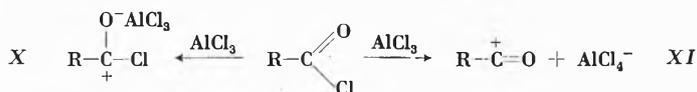


originally postulated for the ionisation reaction of benzoyl chloride and mesitoyl chloride.^{25, 31}

The high reactivity of mesitoyl chloride,³¹ and the sensitivity to solvent changes²⁶ and electrophilic catalysis,¹⁷ have been interpreted³¹ by the $S_{\text{N}}1$ mechanism, since the acylium ion can be readily produced by electrophilic action. Thus the van t'Hoff factor of 4.0 observed³² for mesitoic acid in sulphuric acid is readily interpreted by the reaction



More recently SUSZ and co-workers³³ have shown that Lewis acids and acyl chlorides give two kinds of complexes in non-aqueous solution, the one involving interaction at the carbonyl group, and the other interaction at the chlorine atom to give an acylium ion recognised by the infra red vibration frequency in the 2,000 to 2,200 cm^{-1} range.



²⁶ BROWN and HUDSON, *J. Chem. Soc.* 1953, 3352.

²⁷ Reference 4, p. 345.

²⁸ GOLD, HILTON and JEFFERSON, *J. Chem. Soc.* 1954, 2756.

²⁹ GRUNWALD and WINSTEIN, *J. Amer. Chem. Soc.* 70 (1948) 846.

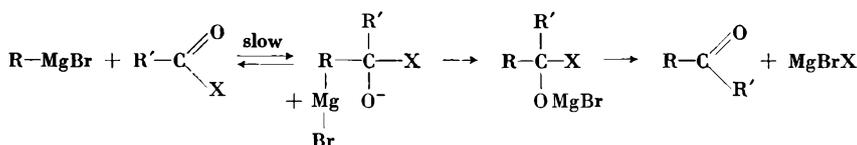
³⁰ HALL, *J. Amer. Chem. Soc.* 77 (1955) 5993.

³¹ HUDSON and WARDILL, *J. Chem. Soc.* 1950, 1729.

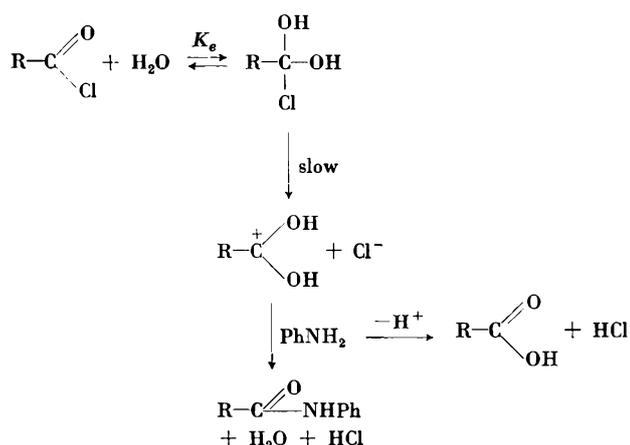
³² TREFFERS and HAMMETT, *J. Amer. Chem. Soc.* 59 (1937) 1708.

³³ SUSZ and CASSIMATIS, *Helv. Chim. Acta* 44 (1961) 395.

Both kinds of complexes would explain the enhanced acetylating properties produced by electrophilic agents. In this connection it is noted that the Grignard reaction may proceed via an intermediate, similar to structure X, the rate order³⁴ $R-COF > R-COCl > R-COBr$ showing that it is formed by nucleophilic action of the incipient carbanion,



In aqueous solution however, pre-hydration must be considered, and in view of the ¹⁸O exchange observed in the hydrolysis of benzoyl chlorides,¹¹ an alternative ionisation mechanism has been proposed, viz.

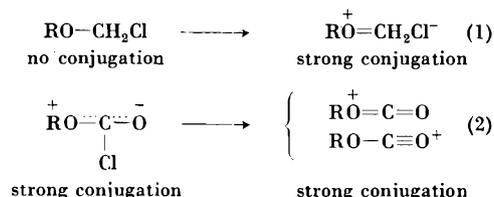


This mechanism, advanced by HALL³⁰ to explain the low reactivity of ethyl chloroformate compared with that of benzoyl chloride in highly aqueous solution, also explains the detection of a reactive intermediate²⁸ on the addition of aniline (p. 398).

According to HALL, the S_N1 reactivity of ethyl chloroformate should be greater than the S_N1 reactivity of benzoyl chloride, in view of the greater ease of electron release from the alkoxy-group. Thus α -chloromethyl ether is considerably more reactive ($\sim 10^{10}$ times) than benzyl chloride in ionising solvents. The analogy between alkyl and acyl chlorides is however unjustified,

³⁴ FIESER and FIESER, *Organic Chemistry*, Reinhold, New York 1956, p. 183.

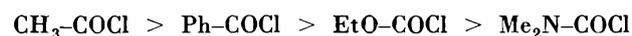
since the latter may be strongly conjugated in the ground state. This is illustrated as follows,



According to simple molecular orbital theory, reaction 2 leads to no increase in conjugation energy, but if allowance is made for electronic repulsions, an increase in conjugation energy, considerably less however than in process (1), is predicted.

Since the transition state lies between the initial and final structures, the change in conjugation energy may be small, and of the same order as changes in the energy of the σ -bonds (inductive effects). It is therefore difficult to predict the relative energies of the initial and transition states. It should also be noted that the acylium ion can adopt alternative structures analogous to allene and acetylene, which also complicates the interpretation.

It is possible therefore that the rate sequence discussed by HALL, e.g. which is found in highly aqueous media.



in terms of an S_N2 mechanism for the first two and an S_N1 mechanism for the last one, may be the rate sequence for S_N1 ionisation. In this connection it is interesting to note that the ³⁵Cl nuclear quadrupole frequencies (Table 1) show that the ionic character of the C-Cl bonds increases in the same direction as the reactivity.

It is obvious that the differentiation between a direct ionisation mechanism (S_N1) in water and an ionisation mechanism preceded by hydration is particularly difficult, and further measurements of the extent of ¹⁸O exchange under conditions where rate determining ionisations have been postulated, must be made.

The brief discussion in this lecture has shown the multiplicity of reactions which are possible for acylation, and the progress which has been made in the past decade in unravelling the mechanisms.