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The authors are indebted to the *Bundesamt für Energiewirtschaft* for financial support.

Received: July 31, 1991

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Chimia 45 (1991) 266–268
 © Schweiz. Chemiker-Verband; ISSN 0009–4293

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Pascale Angelica Bachmann¹), Pier Luigi Luisi¹)*, and Jacques Lang²)

Abstract. Conditions are described, under which the hydrolysis of octyl octanoate (O-OA) takes place at the interface of reverse micelles formed by sodium octanoate (OA) in isooctane. Since the micelle-mediated hydrolysis affords fresh OA, which spontaneously assemble into new micelles, the reaction can be seen as a self-replicating process. The kinetics and the spectroscopy of this self-replication process are presented.

Introduction

Reverse micelles can be seen as small droplets of H_2O (with a radius in the range of 10–100 Å) which are stabilized in organic solvents by a layer of surfactant. Reverse

micelles are usually monodisperse, and their physical properties depend primarily on the molar ratio of H_2O to surfactant, usually defined as w_0 ($w_0 = [H_2O]/[surfactant]$): *e.g.*, the radius of the H_2O pool depends almost linearly on w_0 [1–3]. To an organic chemist,

reverse micelles are also of interest as micro-reactors: a series of H_2O -soluble compounds can be solubilized in the H_2O pool of the micelles, thus permitting reactions between hydrophilic compounds essentially in a bulk aprotic solvent [4][5].

Quite recently, a new type of a chemical reaction in reverse micelles has been proposed [6], one which brings to self-replication of the micelles themselves. The principle is the following: the reverse micelle hosts a reaction which yields the very surfactant which builds the micelle. The so-produced fresh surfactant accumulates at the micellar interface; however, the micelle cannot grow in size, since H_2O is limiting. Actually, since w_0 decreases, the thermodynamic constraints impose formation of a

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Chimia 45 (1991) 266–268
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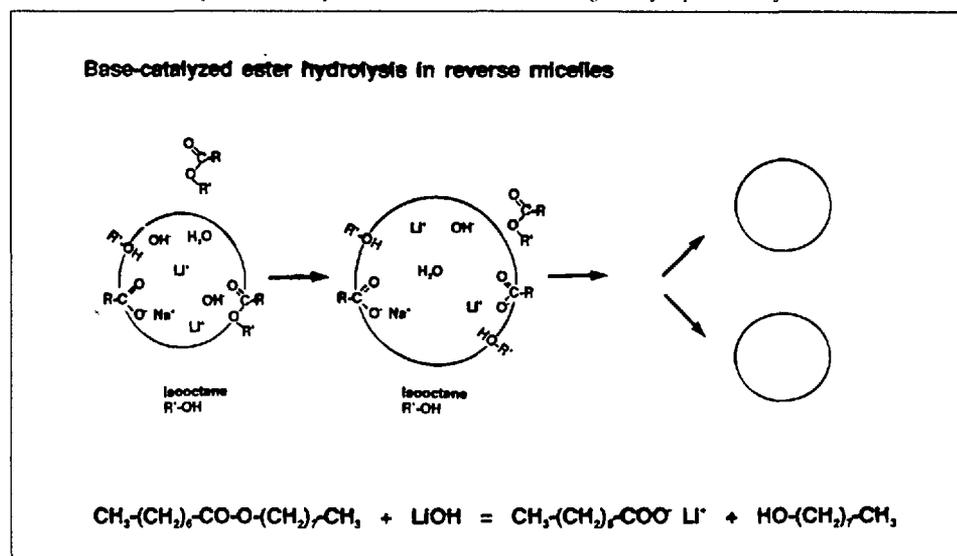
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larger number of smaller micelles. Since this increase in number is due to a chemical reaction which takes place within the domain of parent micelles, it is appropriate to view the micelle population growth as a 'self-replication' process. A couple of other self-replicating systems have been proposed already in the chemical literature, based on the quite different principle of template reactions [7][8]. In our system, the emphasis is on the replication of geometrically closed structures.

Such a self-replicating micelle system has been presented in a preliminary communication without kinetic data and without discussing the ongoing spectroscopic events [6]. The aim of this paper is to provide this additional and still missing information and to further discuss the principle of self-replication in reverse micelles.

Scheme. Schematic Representation of the Micellar Reaction Leading to Self-replication of the Reverse Micelles



Results and Discussion

It may be worthwhile to recall that the system consists of an apolar solvent mixture, *i.e.* isooctane/octan-1-ol 9:1 (v/v), and that the reverse micelles are built by sodium octanoate (OA). Octan-1-ol (OL) is at the same time co-solvent and co-surfactant. LiOH in a concentration of 2.86M (chosen over NaOH for its greater solubility in reverse micelles) is confined in the H₂O pool at $w_0 = 9.2$ (23 mM LiOH overall concentration), whereas excess of octyl octanoate (O-OA) is dissolved in the system, being localized mostly in the bulk solvent and partly at the micellar interface, where the hydrolysis reaction takes place. The resulting fresh OA and OL lead to new micelles.

A pictorial representation of the system is given in the *Scheme*, whereas the time progress of a typical reaction is illustrated in *Fig. 1*.

The time progress of the reaction has been followed by *Fourier transform IR spectroscopy*. The principle of the method has already been described in another context [9]. As the ester is hydrolyzed, the intensity of the C=O(s) band around 1744 cm⁻¹ decreases, while at the same time the intensity of the C=O(s) of the octanoate around 1570 cm⁻¹ increases (*Fig. 1B*). The formation of octan-1-ol during the reaction can be monitored in the O-H(s) region of the IR spectrum, by looking at the increase in the intensity of the band which is centered around 3250 cm⁻¹ (*Fig. 1A*). The kinetics of the reaction can be analyzed by following the decrease of the ester band at 1744 cm⁻¹. For this spectroscopic characterization, we have first carried out a calibration at this wavenumber with known amounts of octyl octanoate in isooctane and then determined the extent of hydrolysis as a function of time.

As expected [10], the hydrolysis reaction is second order with respect to the ester, with an overall rate constant of 10⁻³ M⁻¹ s⁻¹, as estimated from half-time determinations at different ester concentrations (data not

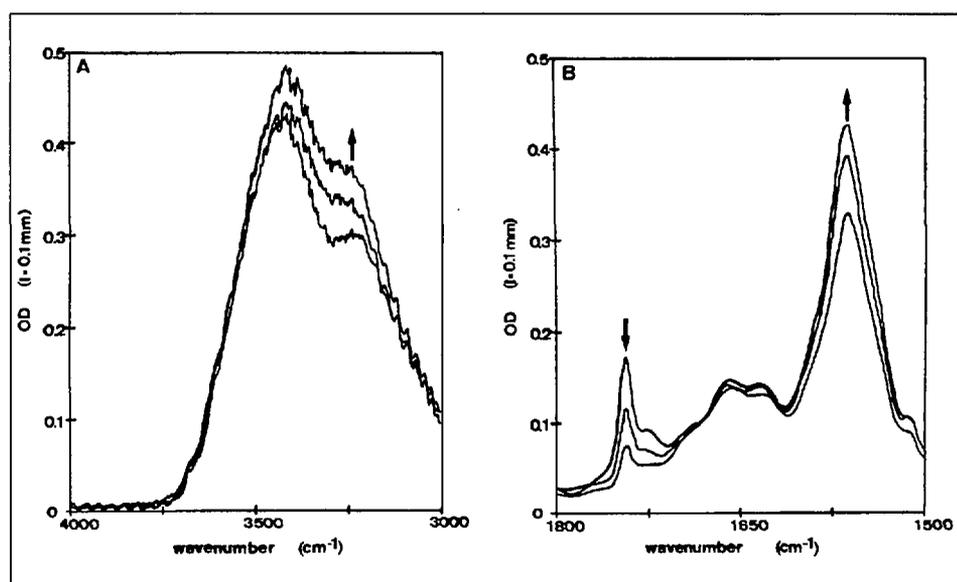


Fig. 1. LiOH-catalyzed hydrolysis of octyl octanoate in sodium octanoate reverse micelles: time course of the FTIR spectrum between 4000 and 3000 cm⁻¹ (A) and between 1800 and 1500 cm⁻¹ (B). Sodium octanoate (50 mM) in isooctane/octan-1-ol 9:1 (v/v), $w_0 = 9.2$, [octyl octanoate]₀ = 25 mM, [LiOH] = 23 mM, path length = 0.1 mm ZnS cell. Incubation times: 0, 24 and 60 h.

shown). The determined rate constant is comparable to the ester-hydrolysis rate constant obtained for *p*-nitrophenol octanoate in the reverse micellar system consisting of sodium octanoate, hexan-1-ol, and H₂O [11].

The concentration change of the reverse micelles was measured by time-resolved fluorescence quenching [12][13]. According to this technique, the micelles contain a H₂O-soluble fluorescent probe – in our case 1-pyrenesulfonic acid- and a quencher – in our case NaI. Since the magnitude of the intramicellar quenching of the probe is proportional to the quencher concentration and inversely proportional to the micelle concentration, the method allows the determination of the concentration of the reverse micelles.

As shown in *Fig. 2*, the concentration of micelles increases from 1.9 to 3.0 mM while the concentration of octanoate increases from 50 to 68 mM. Since the micelles become smaller, micellar radius and aggregation

number of OA must also decrease. This two parameters can be calculated from the measured concentration of micelles by simple geometrical considerations [12], giving a decrease in the micellar radius from 12 to 10.3 Å and a decrease in the aggregation number from 26.1 to 22.4. The mean hydrodynamic radius of the reverse micelles has in addition been determined by quasi-elastic light scattering. From a cumulant analysis of the measured intensity autocorrelation function, the diffusion coefficient of the scattering species can be obtained. Knowing the diffusion coefficient, the hydrodynamic radius can be calculated by the *Stokes-Einstein* equation. The so determined hydrodynamic radius is 22.7 Å at the beginning of the reaction, and 20.5 Å at equilibrium (at the end of the self-replication process) in good agreement with the micellar radius determined by fluorescence quenching, taking 10.4 Å for the length of an octanoate molecule.

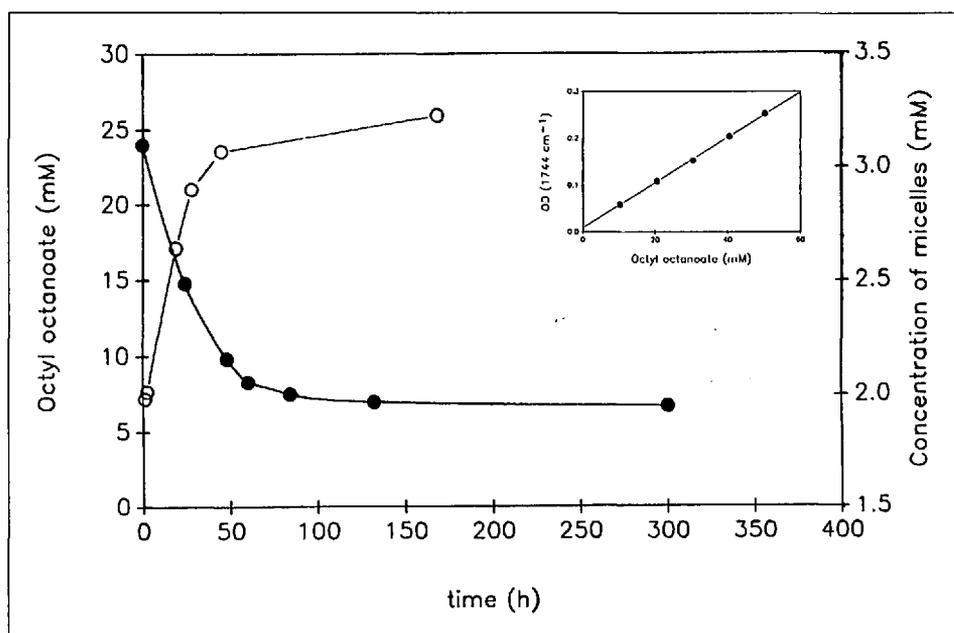


Fig. 2. Decrease of octyl octanoate concentration (●) and increase in the micellar concentration (○) with time for the LiOH-catalyzed hydrolysis reaction in sodium octanoate reverse micelles at $T = 25^\circ$. Starting conditions are 50 mM sodium octanoate, 23 mM LiOH, 24 mM octyl octanoate and $w_0 = 9.2$. Insert: FTIR calibration of octyl octanoate. Concentration dependency of the C=O (ν) band intensity of octyl octanoate in isooctane/octan-1-ol 9:1 (v/v). Pathlength: 0.1 mm, ZnS cell.

Concluding Remarks

There is a small shortcoming in the reaction illustrated here: since w_0 (and consequently the radius of the micelles) becomes smaller during replication, the self-replication is not completely warranted. In principle, one could try to avoid this by adding H_2O so that w_0 remains constant during reaction, according to a method outlined in [15]. Another possibility is the use of coupled reactions, one of which producing H_2O (e.g. the use of the enzyme tyrosinase which yields H_2O upon oxidation of phenol). However, until now none of this was implemented experimentally.

Aside from these details, the reaction presented here, as simple as it is, represents a new class of micellar reactions, which most likely will be considerably developed in the near future. There are already reports indicating that the same self-replication principle can be extended to aqueous micelles [16] and even liposomes [17]. Thus, it is perhaps important to conclude with a couple of general observations.

Micelles and the other supramolecular surfactant complexes can be seen as geometrically closed structures which assemble spontaneously, and this combination of features makes them particularly suitable to model biological replication processes. Of course, given their chemical simplicity, supramolecular surfactant aggregates are very far from the complexity of a cell. On the other hand, precisely this simplicity may permit the understanding of some basic mechanistic steps.

Micellar self-replication offers another point of interest: the linkage with 'autopoiesis'. This notion, as developed by Maturana and Varela [18], tries to define the essential

mechanistic aspects of the living; and essentially, an autopoietic system is one which is defined by a boundary, and in which domain a metabolic network of reactions takes place, which bring to the production of components, which then assemble spontaneously in the same bounded structure. As already emphasized [16][17], self-replicating micelles and liposomes offer in principle the challenge of responding to the essential definition of living.

Materials and Methods

Reagents

1-Pyrenesulfonic acid sodium salt (PSA) was from molecular probes and octan-1-ol from Aldrich both of high purity grade. All other chemicals were of highest purity available from Fluka.

Octyl octanoate was synthesized by heating octanoic acid and octan-1-ol in concentrated H_2SO_4 .

Methods

Quasi-Elastic Light Scattering. Quasi-elastic light scattering experiments were carried out with a Malvern 4700 P/SI/MW spectrometer and an Ar ion laser (coherent, Innova Model 200-10, $\lambda_0 = 488$ nm). Hydrodynamic radii of the octanoate reverse micelles can be determined from a cumulant analysis of the intensity autocorrelation function with a reproducibility of $\pm 5\%$.

Time-Resolved Fluorescence Quenching. The fluorescence decay curves of solubilized fluorescent probe and quencher (or probe alone) were obtained by the single-photon counting technique and analyzed according to a nonlinear weighted least-squares procedure [12].

For comparison with light scattering data, the hydrodynamic radius can be calculated as the sum of the H_2O pool radius and the length of the extended surfactant molecule, which is 10.4 Å for octanoate [14].

FTIR Spectroscopy. All Fourier transform IR spectra were recorded on a Nicolet 55XC FTIR spectrometer, using a ZnS cell from Textronica AG with a fixed pathlength of 0.1 mm. 36 scans were taken of each sample at a resolution of 4 cm^{-1} .

Preparation of the Reaction Mixture and Quantification of the Reaction

An appropriate amount of 2.86M LiOH was added by a microsyringe (typically 40 μl for 5 ml of reaction soln.) to 50 mM sodium octanoate in isooctane/octan-1-ol 9:1 (v/v) to give the desired w_0 (9.2). The reagents were solubilized by vortexing and sonification, until a clear soln. was obtained. The reaction was started by adding octyl octanoate (25 mM) by a microsyringe. The mixture was kept at r.t. without stirring and samples were withdrawn at a desired time and analyzed by FTIR spectroscopy.

We like to thank Dr. P. Walde for helpful discussions.

Received: May 17, 1991

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